



Educated other than at school data collection: 14 January 2019 to 18 January 2019

The collection of educated other than at school data for the 2018/2019 academic year

Specification for software suppliers

Date of issue: August 2018

Version: 1.5

Audience Software suppliers of school information management

systems.

Overview This document is provided by the Welsh Government

as a specification to support the above audience in developing their school information management systems to ensure that schools in Wales are able to submit data required to comply with statutory duties.

Action required For schools in Wales to be able to comply with

statutory duties in supplying the required data returns, the Welsh Government recommends that software suppliers of school information management systems that have customers in Wales take full consideration of this specification document when developing their

systems.

Further information Enquiries about this document should be directed to:

IMS

School Information and Improvement Branch Education Directorate Welsh Government Cathays Park Cardiff CF10 3NQ

Tel: 0300 062 5014 e-mail: IMS@gov.wales





Additional copies This document can be accessed from the SDF pages

of DEWi.

Related documents The Validation CBDS 1819.2.x, Modular CBS Pupil

1819.1.0 and Modular CBDS School 1819.1.0 should

be used in conjunction with this specification.

Contents

1.	Change log	4
	Version 0.1	4
	Version 1.0	4
	Version 1.1	4
	Version 1.2	4
	Version 1.3	5
	Version 1.4	5
	Version 1.5	5
2.	Introduction	6
2.1	Census date	6
2.2	Key principles	6
2.3	XML tools	7
	xsd (schemas)	7
	xslt validation	7
	xslt summary reporting	7
	Production of xsd and xslt	7
2.4	Purpose of the collection	7
2.5	Key changes	8
	New data items added	8
	Changes to existing items	8
	Changes to validation	8
	Items removed	8
2.6	Use of UPNs in reporting in MIS software	8
	Use of UPNs	8
	UPN generator	8
2.7	Use of ULNs in reporting in MIS software	9
2.8	Exclusions from EOTAS	9
3.	Summary of data fields to be returned	10
3.1	Establishment level data modules	10
	School identifiers	10
	Survey details	10
	Elected home education details	10
3.2	Individual pupil level data	10
	Pupil identifiers	10
	Pupil characteristics	10
	Pupil status	11
	Special educational needs	11
	EOTAS	11

	Exclusions data	11
4.	User requirements	12
4.1	Mandatory/optional items	12
4.2	Default values	12
4.3	Autofill values	12
4.4	Block entry	12
4.5	Miscellaneous	12
	Special Characters	12
	Boolean values	12
	XML tags	13
5.	File naming convention	14
5.1	XML data extraction	14
6.	XML message structure	15
7.	Validation rules	21
8. S	ummary reporting	22
8.1	Changes in summary reports	22
	New reports added	22
	Existing reports changed	22
8.2	English language summary tables	22
8.3	Welsh language summary tables	27
9. S	ummary report explanatory notes	33

1. Change log

Version 0.1

Released 15/02/2018

	Specification changes required for rolling forward EOTAS collection 2019
Issue 1	All appropriate dates have been rolled forward by a year.
10000	Any changes or deletions made to the collection for 2018 are no longer highlighted as changes within this specification document.

Version 1.0

Released 11/05/2018

Following supplier review period there are no changes from v0.1 and the specification is now finalised into v1.0.

Version 1.1

Released 20/06/2018

	Census Date
leeus 2	Feedback from Suppliers indicated that the census was too early
Issue 2	in 2019, so is has been changed and all references have been
	amended.

Version 1.2

Released 22/06/2018

	Census Date
Issue 3	Section 5.1 had the incorrect census date and this has now
	been corrected in this version.
	Modular CBDS
Issue 4	Section 3 had the incorrect version of Modular CBDS Pupil and
	Modular CBDS School have
	New format for document
Issue 5	The document has been transposed onto a new template. None
	of the content is changed with the exception of Issue 3.

Version 1.3

Released 25/06/2018

	Date for Exclusions
Issue 6	Section 2.8 had the incorrect dates for the exclusion of pupils to be
	included in collection. This has now been corrected in this version.

Version 1.4

Released 08/08/2018

	Changing gender tags on summary reports to be consistent
	Some of the summary reports were displaying as Boys and Girls,
Issue 7	with other showing Men and Women. These have now all been
	changed to Male and Female to ensure consistency and that they
	align with the M and F valid entries for the <gender> data field.</gender>

Version 1.5 Released 09/08/2018

	Updated dates within the summary reports
Issue 8	Some dates had been identified as still being 2017 and have been changed
	to 2018.
	Changed labels for summary report 3.2
	The labels for this summary report still read "boys" and "girls" in both the
Issue 9	Welsh and English versions and had inadvertently been missed when
	implementing the changes under Issue 7 above. They have now been
	changes to "Males" and "Females".
	Corrected some of the wording in the summary reports for consistency
Issue 10	Within the summary reports, some tables previously read "and later" and
10040 10	some read "or later". These have now been changed so they consistently
	use "or".

2. Introduction

This specification describes the content of the Wales educated other than at school (EOTAS) census for January 2019. It applies to all local authorities (LAs) in Wales (not schools or PRUs). The LAs are required to provide the Welsh Government (WG) with pupil level data for all pupils for whom the LA is financially responsible for the provision of education during the census week 14 to 18 January 2019, whether that be in PRUs or in other forms of 'alternative provision' referred to as 'Educated Otherwise Than At School (EOTAS)' or awaiting provision.

The provision made for the pupil may be located within or outside the LA area. It is possible that the pupil may be placed in more than one category of provision simultaneously, e.g. part of the week at an FE college and part of the week with a voluntary provider or a PRU. Each LA is required to submit one return in respect of all pupils for whom it is responsible. The LA submitting the return will not be required to include pupils currently placed in a PRU within the LA but who live outside the area of the LA and for whom that other LA is financially responsible i.e. pays for the provision.

The EOTAS census is mandatory for all Local Authorities in Wales.

Where a PRU uses a version of a school MIS system, LAs (and suppliers) might wish to consider how data could be supplied electronically to the LA to enable the LA to submit one pupil level return for all pupils in PRUs and in other EOTAS provision. However, separate returns from PRUs are not required.

2.1 Census date

The census date for 2019 is 15 January 2019.

This census will be carried out on 15 January 2019 for those receiving provision during the census week 14 to 18 January 2019.

It must include all children or young people receiving EOTAS provision or awaiting provision on any day during the census week. It must include information on all EOTAS provisions that those children or young people have attended or are scheduled to attend during that week.

2.2 Key principles

This specification follows the principles set out below. Suppliers are asked to note the document in its entirety and provide early feedback on issues and queries during the specification review period.

Electronic pupil level data collections, including the PLASC and EOTAS census, are carried out in line with the principles set out in the Welsh Government's Information Management Strategy at

http://wales.gov.uk/topics/educationandskills/schoolshome/schooldata/ims/?langen

All data items are as defined in the relevant CBDS documentation for Wales.

2.3 XML tools

In order to reduce burdens on software suppliers and enhance consistency of validation application across suppliers and Welsh Government systems, the Welsh Government will provide a number of XML tools for optional use by suppliers. Please see the xslt design specification for requirements for, and further details on, implementing the xslt in MIS software

xsd (schemas)

xsd files will be provided which will define the content of the xml return.

xslt validation

An xslt application will be provided to validate the census return file which will specify the validation rules for this collection. Section 7 of this specification lists the validation CBDS document to refer to. The xslt will produce html and xml error reports.

xslt summary reporting

A further xslt application will be provided to process the xml data return and produce summary reports as set out in section 8 of this specification.

Production of xsd and xslt

The release date for xml tools for this collection will be advised through the Software Development Forum (SDF).

2.4 Purpose of the collection

The school PLASC provides a great level of detail, at individual pupil level, on the school population in Wales providing an invaluable research base to better inform educational policy and practice in Wales.

The EOTAS census helps to fill the gap in pupil data in respect of those pupils not in school. It provides additional data on those pupils previously in school but no longer on a school roll, as well as pupils who may not previously have been registered at school. It is expected that the data used to complete the EOTAS census return will be regularly maintained within the LA thus providing the LA with up to date data about this vulnerable group of children.

Amongst the most important uses for the data provided by the EOTAS census are:

- to update linked pupil records for the purpose of statistical analysis;
- to support evidence based policy making and evaluation;
- to ensure more accurate pupil level data to help schools and LAs to adhere to the principles of the Data Protection Act (DPA);
- to support LAs in their duty under Section 436A of the Education & Inspections Act 2006 which requires local authorities to make arrangements to enable them to establish the identities of children residing in their area who are not receiving a 'suitable education'; and
- to be considered for inclusion in the Revenue Settlement Grant (RSG) distribution formula calculations.

2.5 Key changes

New data items added

There are no new items added.

Changes to existing items

There are no new items added.

Changes to validation

Changes to validation for 2019 will be included in Validation CBDS 1819.2.0 to be released in due course.

Items removed

There have been no items removed.

2.6 Use of UPNs in reporting in MIS software

Use of UPNs

When suppliers are designing reports for use by schools they should note that schools are advised that generally the UPN should not appear in printed format. However, schools have had clear guidance that in the event that this does happen, the printed document should be kept securely and shredded immediately to prevent inappropriate use or a breach of security. This advice is equally valid for MIS software used in LAs and for reports produced by that software.

UPN generator

It is possible that there will be a number of pupils for whom a UPN has not been allocated e.g., a pupil who has never been registered at a maintained school. If no existing UPN can be found for a pupil, a new UPN must be allocated by the LA using the UPN generator located on DfE at

https://www.gov.uk/government/publications/unique-pupil-numbers.

The UPN generator is maintained by DfE and a new generator is made available each academic year.

2.7 Use of ULNs in reporting in MIS software

The Welsh Government is facilitating the allocation of Unique Learner Numbers (ULNs) for pupils aged 14 and over in maintained secondary schools in Wales. ULNs have been collected through EOTAS since January 2012 where appropriate. The ULN is an important administrative tool which will bring benefits for learning providers and learners.

Further information and guidance on ULNs can be found at http://gov.wales/topics/educationandskills/allsectorpolicies/learningrecordsservice/?lang=en.

2.8 Exclusions from EOTAS

Exclusions data in EOTAS is for any pupil who was excluded from a PRU within the local authority at any point during the previous academic year, which is any time from 1 September 2017 to 31 August 2018 regardless of their current provision.

It is possible and acceptable for a pupil who has moved from EOTAS provision to school provision (and therefore be recorded in PLASC 2019) to have exclusions reported for them if they were excluded from a PRU during the last academic year.

Both fixed term and permanent exclusions must be reported.

The Welsh Government will perform comparisons of the data between PLASC and EOTAS and validation checks are in place to avoid duplications. Any duplications which are found will be investigated with the local authority as part of normal validation processes.

3. Summary of data fields to be returned

Note that this is representative of the modular groupings and order as per the School/Pupil CBDS for 1819. The latest versions available at time of release are: NAW Modular CBDS_School_1819.1.0.xls and NAW Modular CBDS_Pupil_1819.1.0.xls.

3.1 Establishment level data modules

School identifiers

Field name	Field length	XML tag	Field type	Sample data
LEA Number	3	<lea></lea>	Alphanumeric	660
School Number	4	<estab></estab>	Alphanumeric	1100

Survey details

Field name	Field length	XML tag	Field type	Sample data
Survey/Data Extraction Type	5	<survey></survey>	Alphanumeric	EOTAS
Survey Completion Time	3	<hours></hours>	Alphanumeric	101

Elected home education details

Field name	Field length	XML tag	Field type	Sample data
Gender	1	<gender></gender>	Alphanumeric	М
Age	2	<age></age>	Alphanumeric	12
Headcount	3	<headcount></headcount>		123

3.2 Individual pupil level data

Pupil identifiers

Field name	Field length	XML tag	Field type	Sample data
UPN (Unique Pupil Number)	13	<upn></upn>	Alphanumeric	Z123456789012
ULN (Unique Learner Number)	10	<uniquelearnernumber></uniquelearnernumber>	Alphanumeric	8534567891
Former UPN	13	<formerupn></formerupn>	Alphanumeric	A123456789012
Surname	35	<surname></surname>	Alphanumeric	Jones
Forename	35	<forename></forename>	Alphanumeric	Marc
Middle Name(s)	35	<middlename></middlename>	Alphanumeric	Iwan Owen
Date of Birth	10	<dob></dob>	Date	2002-03-31
Gender	1	<gender></gender>	Alphanumeric	М

Pupil characteristics

Field name	Field length	XML tag	Field type	Sample data
Pupil National Identity	3	<nationalidentity></nationalidentity>	Alphanumeric	WAL

Pupil Ethnic Code	4	<ethnicity></ethnicity>	Alphanumeric	BAFR
Sourse of Pupil Ethnic Code	1	<ethnicitysource></ethnicitysource>	Alphanumeric	Р
Pupil Free School Meal Eligibility	15	<fsmeligible></fsmeligible>	True/False	False
Pupil In Care Indicator	15	<incare></incare>	True/False	False
Pupil In Care – Caring Authority Code	3	<careauthority></careauthority>	Alphanumeric	660

Pupil status

Field name	Field length	XML tag	Field type	Sample data	
Pupil NC Year Group*	2	<ncyearactual></ncyearactual>	Alphanumeric	10	
Pupil Postcode	8	<postcode></postcode>	Alphanumeric	CF14 5DZ	

Special educational needs

Field name	Field length	XML tag	Field type	Sample data
SEN Provision Under Code Of Practice	1	<senprovision></senprovision>	Alphanumeric	S
SEN Type	4	<sentype></sentype>	Alphanumeric	BESD

EOTAS

Field name	Field length	XML tag	Field type	Sample data
Category Of Provision	3	<categoryofprovision></categoryofprovision>	Alphanumeric	PRU
Hours Of Provision	4	<hoursofprovision></hoursofprovision>	Alphanumeric	15.5
LEA	3	<lea></lea>	Alphanumeric	660
Establishment	4	<estab></estab>	Alphanumeric	1100
Other Category	100	<othercategory></othercategory>	Alphanumeric	Description

Exclusions data

Field name	Field length	XML tag	Field type	Sample data
Start Date Of Exclusion	10	<startdate></startdate>	Date	2016-12-16
Exclusion Reason	2	<reason></reason>	Alphanumeric	BU
Pupil Exclusion Category	4	<eclusioncategory></eclusioncategory>	Alphanumeric	FIXD
Number Of Sessions Missed (For Fixed Term Exclusions Only)	3	<sessionsmissed></sessionsmissed>	Alphanumeric	123
LEA	3	<lea></lea>	Alphanumeric	660
Establishment	4	<estab></estab>	Alphanumeric	4100

4. User requirements

A number of default values are requested in order to minimise bureaucratic and administrative burdens in the process of producing an accurate EOTAS census file.

4.1 Mandatory/optional items

Items marked as 'M', mandatory in the xml example file are required data items. Schema checks will fail if these items are not present, valid data is required for each mandatory field.

Items marked as 'O', optional in the xml example file may be missing. If no data is present 'no data, no tag' rule applies.

4.2 Default values

- <Pupil><NAWPupilCharacteristics><InCare> should be defaulted to false.
- <Pupil><NAWSEN><SENprovision> should be defaulted to N.
- <Pupil><NAWSEN><SENtype> should be defaulted to DNA.

No defaults other than those above should be applied.

4.3 Autofill values

Fields which are dependent on the value of other fields should be autofilled when there is no doubt as to their value. These items are:

<SENtype> must be DNA if <SENprovision> = N

4.4 Block entry

No block entry required.

4.5 Miscellaneous

Special Characters

The XML convention, which should be followed, is to use '&' for '&' and '>' for '>'.

WG xslt uses utf-8 encoding for special characters outside of the Latin character set. This is mainly for accurate Welsh translation of error messages and reports. WG specifies utf-8 encoding for the production of error and summary reports when using the WG produced xslt files, but does not require the encoding reference in the xml files produced by suppliers to be utf-8.

Boolean values

The set of allowed values is {TRUE, FALSE, True, False, true, false, 1, 0} where true and 1 are equivalents and false and 0 are equivalents.

XML tags

All modular **containers** have been named with the 'NAW...' prefix to ensure no confusion between modules agreed for use in England by the DfE.

5. File naming convention

5.1 XML data extraction

Long file names will be used.

The file extension will be XML.

The file name shall be constructed from the following components:

- (a) Origin Identifier (7 characters)¹
- (b) Survey/Data Extraction Type (3 characters)²
- (c) Destination Identifier (7 characters)³
- (d) Serial Number (3 digits)⁴

The components should be separated by underscore characters "_". An example of an **EOTAS Census** file from an LA would be:

660XXXX_EOT_WAGXX19_001.XML

where '19' are the last two digits of the academic year, and therefore appropriate to the EOTAS Census with a census week of 14/01/2019 to 18/01/2019.

The total file name length = 27 characters. For files not yet authorised by the LA the file extension should be changed from 'XML' to 'UNA'.

¹ For transfers from LAs, the first 3 characters of the origin identifier will be the LEA number followed by "XXXX".

² For the EOTAS census data the survey type will be "EOT".

³ For transfers to central organisations, the destination identifier will be the common abbreviation of the receiving organisation (e.g. WAG) followed by "XX" and then '19' where '19' is the last two digits of the academic year

⁴ The first file in the series shall be "001", the second "002" etc.

6. XML message structure

	Structure	EOTAS	Notes
	<pre><?xml version="1.0" encoding="UTF-8"?></pre>	M	
	<message></message>	M	
	<softwarecode>1234</softwarecode>	M	Four digit code to be issued by the WG.
	<term>SPR</term>	M	
	<header></header>	M	
	<serialno>123</serialno>	M	
	<datetime>2019-01-19T09:01:03</datetime>	M	When File was created.
		M	
	<school></school>	М	
	<nawschoolidentifiers></nawschoolidentifiers>	М	
S1	<lea>660<!-- LEA--></lea>	M	
	<estab>LLLL</estab>	М	This will always be 'LLLL' for the authority producing the file.
		M	
	<nawsurveydetails></nawsurveydetails>	M	
	<survey>EOTAS</survey>	М	Value must be EOTAS
	<completion></completion>	M	
S101	<hours>105</hours>		
		M	
		M	
	<nawelectedhomeed></nawelectedhomeed>	0	Can be missing.
	<homeedcategory></homeedcategory>	М	To be repeated for each gender/age for which headcount data is available.
	<gender>F</gender>	0	
	<age>13</age>	0	Age as at preceding 31 August.
	<headcount>3</headcount>	M	

			Structure	EOTAS	Notes
		<	:/HomeEdCategory>	M	
		<	:HomeEdCategory>	0	Multiple containers can be missing if only one gender/age group to be reported.
			<gender>M</gender>	0	If the container is repeated then all mandatory items within it must be present.
			<age>10</age>	0	
			<headcount>2</headcount>	M	
		<	:/HomeEdCategory>	0	
		<td>VElectedHomeEd></td> <td>0</td> <td></td>	VElectedHomeEd>	0	
	<td>School></td> <td></td> <td>M</td> <td></td>	School>		M	
	<pt< td=""><td>upils></td><td></td><td>M</td><td></td></pt<>	upils>		M	
		<pupil:< td=""><td>></td><td>M</td><td></td></pupil:<>	>	M	
		<1	NAWPupilldentifiers>	M	
100001			<upn>A123981456002</upn>	М	If no existing UPN can be found for a pupil, a new UPN can be allocated by the LA using the UPN generator located on DfE at http://www.education.gov.uk/schools/adminandfinance/schooladmin/ims/datamanag ement/upn/a0064619/generating-a-upn
100016			<uln>8534567891</uln>	0	
100002			<formerupn>X34487649987B</formerupn>	0	
100003			<surname>York</surname>	M	
100004			<forename>Tiffany</forename>	M	
100006			<middlenames>Georgia</middlenames>	0	
100007			<dob>2004-01-01</dob>	M	
100008			<gender>F</gender>	M	
		</td <td>NAWPupilldentifiers></td> <td>M</td> <td></td>	NAWPupilldentifiers>	M	
		<1	NAWPupilCharacteristics>	M	

	Structure	EOTAS	Notes
190001	<nationalidentity>WAL</nationalidentity>	0	May be present if DoB after 2011-08-31
100022	<ethnicity>BAFR</ethnicity>	0	May be present if DoB after 2011-08-31
100023	<ethnicitysource>P</ethnicitysource>	0	May be present if DoB after 2011-08-31
100033	<fsmeligible>false</fsmeligible>	M	
100038	<incare>true</incare>	M	
100039	<careauthority>660</careauthority>	0	Can be missing if <incare> is false</incare>
		M	
	<nawpupilstatus></nawpupilstatus>	M	
100068	<ncyearactual>09</ncyearactual>	M	
100120	<postcode>CF14 2NH</postcode>	0	
		M	
	<nawsen></nawsen>	M	Where there is no SEN, SENprovision = N and SENtype = DNA
100077	<senprovision>S</senprovision>	M	
	<senneeds></senneeds>	M	
	<senneed></senneed>	M	Repeatable for as many SEN needs as required
100081	<sentype>ASD</sentype>	M	
		M	
	<senneed></senneed>	0	Repeatable for as many SEN needs as required
100081	<sentype>HI</sentype>	0	
		0	
		M	
		M	
	<naweotas></naweotas>	M	
	<provision></provision>	M	

	Structure	EOTAS	Notes
	<categoryofprovision>PRU</categoryofprovision>	M	
	<hoursofprovision>12</hoursofprovision>	М	At least one Hours of Provision is required, except for NIP provision which must be 0
	<lea>660</lea>	0	LA number MUST be included where Category = PRU or SCH
	<estab>1100</estab>	0	Establishment number MUST be included where Category = PRU or SCH
<td>Provision></td> <td>M</td> <td></td>	Provision>	M	
<p< td=""><td>rovision></td><td>0</td><td>Second or additional Category of Provision only required if pupil is attending more than one Category during the week of the Census.</td></p<>	rovision>	0	Second or additional Category of Provision only required if pupil is attending more than one Category during the week of the Census.
	<categoryofprovision>NIP</categoryofprovision>	M	
	<hoursofprovision>0</hoursofprovision>	M	At least one Hours of Provision is required, except for NIP provision which must be 0
<td>Provision></td> <td>0</td> <td></td>	Provision>	0	
<p< td=""><td>rovision></td><td>0</td><td></td></p<>	rovision>	0	
	<categoryofprovision>OTH</categoryofprovision>	M	
	<hoursofprovision>5</hoursofprovision>	M	At least one Hours of Provision is required, except for NIP provision which must be 0
	<othercategory>Example</othercategory>	0	Other category description MUST be included where Category = OTH
<td>Provision></td> <td>0</td> <td></td>	Provision>	0	
<p< td=""><td>rovision></td><td>0</td><td></td></p<>	rovision>	0	
	<categoryofprovision>SCH</categoryofprovision>	М	At least one Category of Provision is required.
	<hoursofprovision>3.5</hoursofprovision>	M	At least one Hour of Provision is required.
	< LEA>660	0	LA number MUST be included where Category = PRU or SCH
	<estab>4100</estab>	0	Establishment number MUST be included where Category = PRU or SCH

			Structure	EOTAS	Notes
				0	
		</td <td>NAWEOTAS></td> <td>M</td> <td></td>	NAWEOTAS>	M	
		<td>l></td> <td>M</td> <td></td>	l>	M	
	<td>upils></td> <td></td> <td>M</td> <td></td>	upils>		M	
	<e></e>	xclusions	S>	0	Optional only if exclusions data does not exist. Exclusions data for each fixed term and permanent exclusion during the academic year 2017/18 is required to be reported for EOTAS 2019.
		<exclu< td=""><td>usion></td><td>M</td><td></td></exclu<>	usion>	M	
		<1	NAWPupilldentifiers>	M	
100001			<upn> A123981456002</upn>	M	
100016			<uln>8534567891</uln>	0	
100002			<formerupn> X34876499877B </formerupn>	0	
100003			<surname>York</surname>	M	
100004			<forename>Tiffany</forename>	М	
100006			<middlenames>Georgia</middlenames>	0	
100007			<dob>2002-01-01</dob>	M	
100008			<gender>F</gender>	M	
		</td <td>NAWPupilldentifiers></td> <td>M</td> <td></td>	NAWPupilldentifiers>	M	
		<naw< td=""><td>Exclusions></td><td>M</td><td></td></naw<>	Exclusions>	M	
		<1	NAWExclusion>	M	Repeatable container if more than one fixed term exclusion has occurred.
100089			<startdate>2015-01-01</startdate>	M	
100088			<reason>BU</reason>	M	
100087			<exclusioncategory>FIXD</exclusioncategory>	M	Permanent exclusions would only include one instance.
100093			<sessionsmissed>4</sessionsmissed>	M	Mandatory for fixed term exclusions only. Not required or valid for permanent exclusions.

			Structure	EO	OTAS	Notes
			<lea>660</lea>			To be the LEA code from which the EOTAS return is generated - must match the LEA code in the <nawschoolldentifiers> container.</nawschoolldentifiers>
			<estab>4100</estab>		М	To record the PRU (within the LEA above) from which the pupil has been excluded.
		<td>AWExclusion></td> <td></td> <td>М</td> <td></td>	AWExclusion>		М	
		<td>xclusions></td> <td></td> <td>М</td> <td></td>	xclusions>		М	
					М	
					0	
					М	

7. Validation rules

For validations for this collection please refer to 'NAW Validation CBDS v1819.2.0.xls'.

Unless otherwise stated, references to age relate to age as at 31 August 2018.

Wherever possible software should indicate to the user which pupil's record is causing the error message. We suggest listing at least <UPN>, <Forename>, <Surname>, and <DOB> for this purpose.

The Validation CBDS contains filters to help during development. Users of the spreadsheet can filter on collection and sector.

'NAW Validation CBDS v1819.2.0.xls' is for use with PLASC and the EOTAS census January 2019 onwards.

8. Summary reporting

Each time a submission is created by the software, or edited by the LA, the software should automatically generate a "summary file".

The Welsh Government will be providing a set of xslt files to process the xml data and produce a summary report. The reports as set out in this section are those produced by the xslt.

This should be a formatted text file, with the attached content and layout, but the precise formatting is not specified. The main consideration is that the summary be easily readable by the LA regardless of any difference between the software that generated it and that being used to read it.

Some references and notes (italicised) are included explaining the derivation of the numbers in the summary from the XML data submission (using, where appropriate, XML tags), where this may not be self-evident. These notes should not, of course, appear on the summary itself.

The purpose of the summary is to help the LA staff preparing the return, and the official authorising it, to assess at a glance its likely accuracy and completeness and to make immediate comparisons with any other information already held in the LA (for example on pupils with special needs).

8.1 Changes in summary reports

There has been a change in the labels used in the below summary reports. The gender has been amended on all to show **Male** and **Female** instead of Boys and Girls.

New reports added

No new reports added.

Existing reports changed

No new reports added.

8.2 English language summary tables

Summary Report for: (2)

1.1 Pupils' gender and age

Age	Date of Birth	Male	Female
19 or over	31/8/99 or earlier		
18	1/9/99 - 31/8/00		
17	1/9/00 - 31/8/01		
16	1/9/01 - 31/8/02		

15	1/9/02 - 31/8/03	
14	1/9/03 - 31/8/04	
13	1/9/04 - 31/8/05	
12	1/9/05 - 31/8/06	
11	1/9/06 - 31/8/07	
10	1/9/07 - 31/8/08	
9	1/9/08 - 31/8/09	
8	1/9/09 - 31/8/10	
7	1/9/10 - 31/8/11	
6	1/9/11 - 31/8/12	
5	1/9/12 - 31/8/13	
4.8 (mths)	1/9/13 - 31/12/13	
4.5 (mths)	1/1/14- 31/3/14	
4	1/4/14 - 31/8/14	
3.8 (mths)	1/9/14 - 31/12/14	
3.5 (mths)	1/1/15 - 31/3/15	
3	1/4/15 - 31/8/15	
2 or under	1/9/15 or later	
All ages		

1.2 Pupils' national identity

Nationality	Number of pupils aged 5 or over at 31 August 2018
Welsh (29)	
English (30)	
Scottish (31)	
Irish (32)	
British (33)	
Other (34)	
Refused (35)	
Not supplied (36)	

1.3 Pupils' ethnic background

· ·	Number of pupils aged 5 or over at 31 August 2018

[To include one row for each distinct main and extended ethnic background codes where there is at least one pupil in that category.]

1.4 Pupils by Category of Provision

Category of Provision	Number of pupils

[To include one row for each distinct Category where there is at least one pupil in that category.]

2.1 School meals

Number of pupils eligible for free school meals = nnnn (104)

2.2 Pupils in care

Number of pupils in care = nnnn (55)

3.1 Special Education Needs for pupils with statements of special educational needs

	Needs for pupils with statements aged (ages as at 31.8.18) (106)						Total number of			
	Und	ler 5	5-	10		-15		d over	needs pupils staten	with nents
Description of	Male	Fem	Male	Fem	Male	Fem	Male	Fem	Male	Fem
need		ale		ale		ale		ale		ale
Specific Learning										
Difficulties –										
Dyslexia (790)										
Specific Learning Difficulties –										
Dyscalculia (791)										
Specific Learning										
Difficulties –										
Dyspraxia (792)										
Specific Learning										
Difficulties –										
Attention Deficit										
Hyperactivity										
Disorder (793)										
Moderate Learning Difficulties (107)										
General Learning										
Difficulties (794)										
Severe Learning										
Difficulties (108)										
Profound and										
multiple learning										
difficulties (109)										
Behavioural,										
Emotional and	1					1				
Social difficulties										
(117)	1					ļ				
Speech, language	1					1				
and communication	1					1				

difficulties (116)					
Hearing impairment (113)					
Visual impairment (114)					
Multi sensory impairment (115)					
Physical and Medical Difficulties (112)					
Autistic Spectrum Disorder (111)					
Total number of needs					

3.2 Number of pupils with special needs but no statement

Males: nnnn (119)

Females: nnnn (120)

4.1 Number of pupils and total hours of provision

4.1.1 Foundation phase pupils (all Foundation phase pupils, not just those at the end of Foundation phase)

No. of hours (901)	No. of pupils (902)	% of Foundation phase pupils (903)

[To include one row for each total of hours showing the number of pupils for whom that amount of provision was made.]

4.1.2 Key Stage 2 pupils (all KS2 pupils, not just those at the end of KS2)

No. of hours (901)	No. of pupils (902)	% of KS2 pupils (903)

[To include one row for each total of hours showing the number of pupils for whom that amount of provision was made.]

4.1.3 Key Stage 3 & 4 pupils (all KS3/4 pupils, not just those at the end of KS3/4)

No. of hours (901)	No. of pupils (902)	% of KS3 & 4 pupils (903)

[To include one row for each total of hours showing the number of pupils for whom that amount of provision was made.]

[NOTE: Full time education provision is defined as follows: Foundation phase pupils = 21 hours a week Key Stage 2 pupils = 23.5 hours a week Key Stage 3 & Key Stage 4 pupils = 25 hours a week]

Elective home education

5.1 Pupils' gender and age

Age	Male	Female	Unknown
17 or over			
16			
15			
14			
13			
12			
11			
10			
9			
9 8 7			
7			
6 5			
5			
4			
3			
2 or under			
All ages			

6 Exclusions

6.1 Number of permanent exclusions in the 2017/18 school year = nnnn (124)

6.2 Fixed Exclusions

012 1 1110 G								
Age	Date of Birth	Number of fixed exclusions (227)						
19 or over	31/8/98 or earlier							
18	1/9/98 - 31/8/99							
17	1/9/99 - 31/8/00							
16	1/9/00 - 31/8/01							
15	1/9/01 - 31/8/02							

14	1/9/02 - 31/8/03	
13	1/9/03 - 31/8/04	
12	1/9/04 - 31/8/05	
11	1/9/05 - 31/8/06	
10	1/9/06 - 31/8/07	
9	1/9/07 - 31/8/08	
8	1/9/08 - 31/8/09	
7	1/9/09 - 31/8/10	
6	1/9/10 - 31/8/11	
5 or under	1/9/11 or later	
Total		

6.3 Number of pupils with fixed exclusions in the 2017/18 academic year = nnnn (228)

This summary relates to the return dated dd/mm/yyyy at hh:mm

DECLARATION

Signature	
Name (please print)	
Date	

8.3 Welsh language summary tables

The information in the tables of the school summary is required in Welsh as well as in English. The following table structure gives the Welsh translations of the headings including rows and columns.

Crynodeb ar gyfer: (2)

1.1 Rhyw ac oedran y disgyblion

Oedran	Dyddiad geni	Gwryw	Benyw
19 neu hŷn	31/8/99 neu'n gynt		
18	1/9/99 - 31/8/00		
17	1/9/00 - 31/8/01		
16	1/9/01 - 31/8/02		
15	1/9/02 - 31/8/03		
14	1/9/03 - 31/8/04		
13	1/9/04 - 31/8/05		
12	1/9/05 - 31/8/06		
11	1/9/06 - 31/8/07		
10	1/9/07 - 31/8/08		

9	1/9/08 - 31/8/09	
8	1/9/09 - 31/8/10	
7	1/9/10 - 31/8/11	
6	1/9/11 - 31/8/12	
5	1/9/12 - 31/8/13	
4.8 (mis)	1/9/13 - 31/12/13	
4.5 (mis)	1/1/14 - 31/3/14	
4	1/4/14 - 31/8/14	
3.8 (mis)	1/9/14 - 31/12/14	
3.5 (mis)	1/1/15 - 31/3/15	
3	1/4/15 - 31/8/15	
2 neu iau	1/9/15 neu'n	
	hwyrach	
Pob oedran		

1.2 Hunaniaeth Genedlaethol y disgyblion

Hunaniaeth Genedlaethol	Nifer y disgyblion 5 oed neu'n hŷn ar 31 Awst 2018
Cymreig ₍₂₉₎	
Seisnig (30)	
Albanaidd(31)	
Gwyddelig(32)	
Prydeinig(33)	
Arall(34)	
Gwrthodwyd rhoi'r wybodaeth (35)	
Ni chafwyd y gwybodaeth (36)	

1.3 Cefndir ethnig y disgyblion

Grŵp ethnig	Nifer y disgyblion 5 oed neu'n hŷn ar 31 Awst 2018

[To include one row for each distinct main and extended ethnic background codes where there is at least one pupil in that category.]

1.4 Disgyblion fesul Categori Darpariaeth

Categori Darpariaeth	Nifer y disgyblion		

[To include one row for each distinct Category where there is at least one pupil in that category.]

2.1 Prydau ysgol

Nifer y disgyblion ar y gofrestr sydd a hawl i gael prydau am = nnnn (104)

2.2 Disgyblion mewn gofal

Nifer y disgyblion mewn gofal = nnnn (55)

3.1 Anghenion Addysgol Arbennig sydd ag disgyblion â datganiad o anghenion addysgol arbennig

	Anghenion â disgyblion â datganiad (oed ar 31.8.18) (106)								Cyfanswm nifer	
	O dan	5 oed	5-′			-15	16 a th	rosodd	o anghe sydd gy disgybl datgani	⁄da ion â
Disgrifiad o'r angen	Gwry w	Beny w	Gwry w	Beny w	Gwry w	Beny w	Gwry w	Beny w	Gwry w	Benyw
Anawsterau Dysgu Penodol - Dyslecsia (790)										
Anawsterau Dysgu Penodol - Dyscalcwlia (791)										
Anawsterau Dysgu Penodol - Dyspracsia (792)										
Anawsterau Dysgu Penodol - Anhwylder Diffyg Canolbwynt io a Gorfywiogr wydd (793)										
Anawsterau dysgu cymedrol (107)										
Anawsterau dysgu cyffredinol (794)										
Anawsterau dysgu										

4:t=:t=1 (400)	T T	ı				1
difrifol (108)						
Anawsterau						
dysgu dwys						
a Iluosog						
(109)						
Anawsterau						
Ymddygiad						
ol,						
Emosiynol						
ac						
Cymdeithas						
ol (117)					 	
Anawsterau						
lleferydd,						
iaith a						
chyfathrebu						
(116)						
Nam ar y						
clyw (113)						
Nam ar y						
golwg (114)						
Nam						
amlsynhwyr						
aidd (115)						
Anawsterau						
Corfforol a						
Meddygol						
(112)						
Afiechyd						
sbectrwm						
Awtistiaeth						
(111)						
Cyfanswm						
nifer o						
anghenion						

3.2 Nifer y disgyblion ag Anghenion Addysgol Arbennig ond heb ddatganiad

Gwrywod: nnnn (119)

Benywod: nnnn (120)

4.1 Nifer y disgyblion a chyfanswm oriau'r ddarpariaeth

4.1.1 Disgyblion Cyfnod Sylfaen (pob disgybl Cyfnod Sylfaen, nid dim ond y rheini sydd ar ddiwedd Cyfnod Sylfaen)

Nifer yr oriau (901)	Nifer y disgyblion (902)	% o ddisgyblion Cyfnod Sylfaen (903)

[To include one row for each total of hours showing the number of pupils for whom that amount of provision was made.]

4.1.2 Disgyblion Cyfnod Allweddol 2 (pob disgybl CA2, nid dim ond y rheini sydd ar ddiwedd CA2)

Nifer yr oriau (901)	Nifer y disgyblion (902)	% o ddisgyblion CA2 (903)

[To include one row for each total of hours showing the number of pupils for whom that amount of provision was made.]

4.1.3 Disgyblion Cyfnodau Allweddol 3 a 4 (pob disgybl CA3/4 nid dim ond y rheini sydd ar ddiwedd CA3/4)

Nifer yr oriau (901)	Nifer y disgyblion (902)	% o ddisgyblion CA3 a 4 (903)

[To include one row for each total of hours showing the number of pupils for whom that amount of provision was made.]

[NOTE: Full time education provision is defined as follows:

Foundation phase pupils = 21 hours a week

Key Stage 2 pupils = 23.5 hours a week

Key Stage 3 & Key Stage 4 pupils = 25 hours a week]

Addysg ddewisol yn y cartref

5.1 Rhyw ac oedran y disgyblion

Oed	Gwryw	Benyw	Anhysbys
17 neu'n			
hŷn			
16			
15			
14			
13			
12			
11			
10			
9			
8			
7			
6			

5		
4		
3		
2 neu'n		
iau		
Pob oedran		
oedran		

6 Gwaharddiadau

6.1 Nifer y gwaharddiadau parhaol o'r ysgol yn y flwyddyn academaidd 2016/17 = nnnn (124)

6.2 Gwaharddiadau penodol

Oedran	Dyddiad geni	Nifer y gwaharddiadau penodol (227)
19 neu hŷn	31/8/98 neu'n gynt	
18	1/9/98 - 31/8/99	
17	1/9/99 - 31/8/00	
16	1/9/00- 31/8/01	
15	1/9/01 - 31/8/02	
14	1/9/02 - 31/8/03	
13	1/9/03 - 31/8/04	
12	1/9/04 - 31/8/05	
11	1/9/05 - 31/8/06	
10	1/9/06 - 31/8/07	
9	1/9/07 - 31/8/08	
8	1/9/08 - 31/8/09	
7	1/9/09 - 31/8/10	
6	1/9/10 - 31/8/11	
5 neu'n iau	1/9/11 neu'n hwyrach	
Cyfanswm		

6.3 Nifer y disgyblion â gwaharddiadau penodol yn y flwyddyn academaidd 2017/18 = nnnn (228)

Darparwyd y crynodeb hwn ar dd/mm/yyyy ar hh:mm
DATGANIAD
Llofnod
Enw (defnyddiwch briflythrennau)
Dvddiad

9. Summary report explanatory notes

(2)	<school><nawschoolidentifiers><lea></lea></nawschoolidentifiers></school>
(29)	<pupils><pupil><nawpupilcharacteristics<nationalidentity> = WAL</nawpupilcharacteristics<nationalidentity></pupil></pupils>
(30)	<pupils><pupil><nawpupilcharacteristics<nationalidentity> = ENG</nawpupilcharacteristics<nationalidentity></pupil></pupils>
(31)	<pupils><pupil><nawpupilcharacteristics<nationalidentity> = SCO</nawpupilcharacteristics<nationalidentity></pupil></pupils>
(32)	<pupils><pupil><nawpupilcharacteristics<nationalidentity> = IRE</nawpupilcharacteristics<nationalidentity></pupil></pupils>
(33)	<pupils><pupil><nawpupilcharacteristics<nationalidentity> = BRI</nawpupilcharacteristics<nationalidentity></pupil></pupils>
(34)	<pupils><pupil><nawpupilcharacteristics<nationalidentity> = OTH</nawpupilcharacteristics<nationalidentity></pupil></pupils>
(35)	<pupils><pupil><nawpupilcharacteristics<nationalidentity> = REF</nawpupilcharacteristics<nationalidentity></pupil></pupils>
(36)	<pupils><pupil><nawpupilcharacteristics<nationalidentity> = NOS</nawpupilcharacteristics<nationalidentity></pupil></pupils>
(55)	Total <pupils><pupil><nawpupilcharacteristics><incare> where = true</incare></nawpupilcharacteristics></pupil></pupils>
(104)	Total <pupils><pupil><nawpupilcharacteristics><fsmeligible> where = true</fsmeligible></nawpupilcharacteristics></pupil></pupils>
(106)	<pupils><pupil><nawsen><senprovision> = S,and <pupil><senntyperank>= 1, filter applies to (107) – (117)</senntyperank></pupil></senprovision></nawsen></pupil></pupils>
(107)	<pupils><pupil><nawsen><senneeds><senneed><sentype> = MLD</sentype></senneed></senneeds></nawsen></pupil></pupils>
(108)	<pupils><pupil><nawsen><senneeds><sentype> = SLD</sentype></senneeds></nawsen></pupil></pupils>
(109)	<pupils><pupil><nawsen><senneeds><senneed><sentype> = PMLD</sentype></senneed></senneeds></nawsen></pupil></pupils>
(111)	<pupils><pupil><nawsen><senneeds><senneed><sentype> = ASD</sentype></senneed></senneeds></nawsen></pupil></pupils>
(112)	<pupils><pupil><nawsen><senneeds><senneed><sentype> = PMED</sentype></senneed></senneeds></nawsen></pupil></pupils>
(113)	<pupils><pupil><nawsen><senneeds><senneed><sentype> = HI</sentype></senneed></senneeds></nawsen></pupil></pupils>
(114)	<pupils><pupil><nawsen><senneeds><senneed><sentype> = VI</sentype></senneed></senneeds></nawsen></pupil></pupils>
(115)	<pupils><pupil><nawsen><senneeds><senneed><sentype> = MSI</sentype></senneed></senneeds></nawsen></pupil></pupils>
(116)	<pupils><pupil><nawsen><senneeds><senneed><sentype> = SLCD</sentype></senneed></senneeds></nawsen></pupil></pupils>
(117)	<pupils><pupil><nawsen><senneeds><senneed><sentype> = BESD</sentype></senneed></senneeds></nawsen></pupil></pupils>
(119)	Count of <pupils><pupil><nawsen><senprovision> =, A, P and <pupils><pupil><nawsen><senprovision> not DNA for</senprovision></nawsen></pupil></pupils></senprovision></nawsen></pupil></pupils>
	<Pupil $><$ Gender $>=M$.
(120)	Count of <pupils><pupil><nawsen><senprovision> = A, P and <pupils><pupil><nawsen><senprovision> not DNA for</senprovision></nawsen></pupil></pupils></senprovision></nawsen></pupil></pupils>
(120)	<pupil><gender> = F</gender></pupil>
(124)	Count of <exclusion> nodes where <exclusioncategory> = PERM</exclusioncategory></exclusion>
(227)	Count of <exclusion> nodes where <exclusioncategory> = FIXD</exclusioncategory></exclusion>

(228)	In <pupils> Count of<pupil><nawexclusions><exclusioncategory> = FIXD</exclusioncategory></nawexclusions></pupil></pupils>
(901)	<hoursofprovision> total across <naweotas><provision> containers for a unique <upn>, with each different total that occurs appearing once in descending order.</upn></provision></naweotas></hoursofprovision>
(902)	Total number of unique <upn>s with the calculated <hoursofprovision> total across <naweotas><provision> containers</provision></naweotas></hoursofprovision></upn>
(903)	Percentage of unique <upn>s in the specified key stage with the calculated <hoursofprovision> total across <naweotas><provision> containers reported</provision></naweotas></hoursofprovision></upn>
(790)	<pupil><nawsen><senneed><sentype> = DYSL</sentype></senneed></nawsen></pupil>
(791)	<pupil><nawsen><senneed><sentype> = DYSC</sentype></senneed></nawsen></pupil>
(792)	<pupil><nawsen><senneed><sentype> = DYSP</sentype></senneed></nawsen></pupil>
(793)	<pupil><nawsen><senneed><sentype> = ADHD</sentype></senneed></nawsen></pupil>
(794)	<pupil><nawsen><senneed><sentype> = GLD</sentype></senneed></nawsen></pupil>